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Practitioner's Docket No. 2003DE140

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Felix W. Grimm, et al.

Application No.: 10/582,770

Group No.:

Filed: June 12, 2006

For: Use of a Pigment Preparation Based on C.I. Pigment Yellow 74

Commissioner for Patent  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
  - 1a. ☒ Form PTO/SB/08A.
  - 1b. ☒ Copies of Information Disclosure Statement citations.
  - 1c. ☐ PCT Search Report
  - 1d. ☒ Copies of Co-pending U.S. Applications.
2. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.

7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached PTO/SB/08A Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached Form PTO/SB/08A.

Respectfully submitted,



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Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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**Complete if Known**

Application Number	10/582,770
Filing Date	June 12, 2006
First Named Inventor	Felix W. Grimm et al.
Art Unit	
Examiner Name	
Attorney Docket Number	2003DE140

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	AA	3,759,733	9/18/1973	Bradley et al.	
	AB	6,503,317	1/7/2003	Ortalanano et al.	
	AC	5,024,698	6/18/2001	Schwartz et al.	
	AD	4,457,783	7/3/1984	Hamilton et al.	
	AE	6,918,958	7/19/2005	Weber et al.	
	AF	5,271,759	12/21/1993	Wooden et al.	
	AG	6,504,045	1/7/2003	Jung et al.	
	AH				
	AI				
	AJ				
	AK				
	AL				
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	AN				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	BA	JP 10-158555	6/16/1998	Japan	English Translation	<input type="checkbox"/>
	BB	GB 2364322	1/23/2002	Great Britain	English	<input type="checkbox"/>
	BC	EP 1315588	6/4/2003	EPO	English	<input type="checkbox"/>
	BD	WO 02/064680	8/22/2002	WIPO	See AE above	<input type="checkbox"/>
	BE	JP 45011026	4/21/1970	Japan	English Translation	
	BF	GB 2356634	5/30/2001	Great Britain	English	
	BG	DE 4214868	11/12/1992	Germany	See AF above	
	BH	DE 2739775	3/9/1978	Germany	See BH above	
	BI	GB 1569837	6/18/1980	Great Britain	English	
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				Application Number	10/582,770
				Filing Date	June 12, 2006
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				Art Unit	
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	2003DE140

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	U.S. Patent Application 10/582,769 by Grimm et al., filed 6/12/2006	<input type="checkbox"/>
	CB	U.S. Patent Application 10/590,828 by Schweikart et al., filed 8/25/2006	<input type="checkbox"/>
	CC	U.S. Patent Application 10/579,344, by Weber et al., filed 5/12/2006	<input type="checkbox"/>
	CD	PCT International Search Report for PCT/EP 2004/013945, mailed 4/15/2005	<input type="checkbox"/>
	CE	English Translation of PCT Preliminary Report on Patentability for PCT/EP 2004/013945, mailed 12/8/2004.	<input type="checkbox"/>
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